

FORM PTO-1390) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER (REV. 10-2003) 1204.46402X00 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known Not Yet Assigned) **CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2004/000301 January 16, 2001 TITLE OF INVENTION Negative Electrode for Lithium Secondary Battery and Lithium Secondary Battery APPLICANT(S) FOR DO/EO/US Yoshito ISHII Souichirou SUDA Tatsuya NISHIDA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. 🛛 This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. 🔯 The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))) a. 
is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US) 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🛛 is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. In have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. 🔲 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. 🔯 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. 🔲 An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🔯 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🖂 A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. 🔲 A substitute specification. 16. A power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: Figs.: 1-2; Request for Examination and Search; PCT Attachment; Credit Card 20. 🔯 Payment Form

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## IAP6 Rec'd PCT/PTO 14 JUL 2006

U.S. APPLICATION N Not Yet Assigned	$\Delta U H = A$	ATTORNEY'S DOCKET NUMBER 1204.46402X00				
The following fees ha	eve been su	bmitted:			CALCULATIONS	PTO USE ONLY
21. Basic national fee \$300					\$300.00	
	ional prelir of PCT Ar	\$200.00				
to the USI	(37 CFR I PTO as an I nal Search	\$400.00				
		\$900.00				
Additional listing or co	mputer pro	\$0.00				
Total Sheets	Extra Sh /50 =					
Surcharge of \$130.	- 100 =					
CLAIMS		JMBER FILED	NUMBER EXTRA	RATE		1
Total Claims	<del>                                     </del>	15 - 20 =	0	x \$50.00	\$0.00	
Independent Claims 3 - 3 =		0	x \$200.00	\$0.00		
MULTIPLE DEPEN		\$0.00				
		= \$900.00				
Applicant clai		\$0.00				
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Processing fee of \$ from the earliest cl		\$0.00				
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Fee for recording the accompanied by an		\$40.00				
		= \$940.00				
					Amount to be refunded:	s
					Amount to charged:	s
<ul> <li>a. ☐ A check in the amount of \$ to cover the above fees is enclosed.</li> <li>b. ☐ Please charge my Deposit Account No. 01-2135 in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.</li> <li>c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposition Account No. 01-2135. A duplicate copy of this sheet is enclosed.</li> <li>d. ☒ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</li> <li>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</li> </ul>						
1300 North 17th Street, Suite 1800 Arlington, VA 22209 Nat 28,					re n I. Solomon	

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

ISHII, et al.

Serial No.:

Not Yet Assigned

Filed:

July 14, 2006

Title:

Negative Electrode for Lithium Secondary Battery and Lithium Secondary

**Battery** 

## **REQUEST FOR EXAMINATION AND SEARCH**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

July 14, 2006

Sir:

Applicants respectfully request that a search and examination be conducted in the above-identified application. The necessary search fee and examination fee are enclosed herewith.

Please charge any shortage in the fees due in connection with the filing of this paper, including excess claim fees, to Deposit Account No. 01-2135 (1204.46402X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

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ATTACHMENT 14 JUL 2006

International Application No.	International Filing Date	Attorney Docket No.
PCT/JP2004/000301	January 16, 2004	1204.46402X00

- International Publication No. WO 2005/069410 \*\*\*A-1 Cover Sheet 1.
- Information Concerning Elected Offices Notified of Their Election; 2.
- International Preliminary Report on Patentability; 3.
- Second Notice Informing the Application of the Communication of the 4. International Application to the Designated Offices
- International Search Report (in English and Japanese) 5.